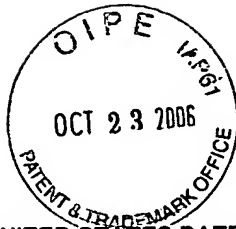


HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P.O. Box 272400  
Fort Collins, Colorado 80527-2400



PATENT APPLICATION

ATTORNEY DOCKET NO. 200302554-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Charles S. Johnson et al.

Confirmation No.: 8669

Application No.: 10/774,267

Examiner: LE, Dieu-Minh T.

Filing Date: February 6, 2004

Group Art Unit: 2114

Title: TRANSACTION PROCESSING APPARATUS AND METHOD

Mail Stop Amendment  
Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97 (c), together with either a:  
☐ Statement under 37 CFR 1.97 (e), or  
☒ a \$180 fee under 37 CFR 1.17 (p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d), together with a:  
☐ Statement under 37 CFR 1.97 (e)(1) or (2), and  
☐ a \$180 fee set forth in 37 CFR 1.17 (p)

(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$ 180.00. At any time during the pendency of this application, please charge any fees required or credit any over payment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

Applicant(s) submit herewith form PTO/SB/08B - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO/SB/08B, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an english language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO/SB/08B and is enclosed.

It is requested that the information disclosed herein be made of record in this application.

- ☒ I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
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Typed Name: Mariko Mizuno

Signature: [Signature]

Respectfully submitted,

Charles S. Johnson et al.

By [Signature]  
Guy K. Clinger

Attorney/Agent for Applicant(s)

Reg No. : 42,422

Date : 10/19/06

Telephone : (303) 298-9888

10/24/2006 WABDELRI 00000092 082025 10774267 180.00 DA 01 FC:1806



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/774,267
Filing Date	February 6, 2004
First Named Inventor	Charles S. Johnson, et al.
Art Unit	2114
Examiner Name	LE, Dieu-Minh T.
Attorney Docket Number	200302554-1

Sheet 1

of

1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		LESLIE LAMPORT, The Implementation of Reliable Distributed Multiprocess Systems, North-Holland Publishing Company Computer Networks 2 (1978) pp.95-114	
		LESLIE LAMPORT, Time, Clocks and the Ordering of Events in a Distributed System, Communication of the ACM, July 1978, Vol. 21, No. 7, Massachusetts Computer Associates, Inc.	
		ROBERT H. THOMAS, A majority Consensus Approach to Concurrently Control for Multiple Copy Databases, ACM Transaction on Database Systems, Vol. 4, No. 2, June 1979, pp. 180-209	
		NANCY A. LYNCH, Distributed Algorithms, 1996 by Morgan Kaufmann Publishers, Inc., pp 565-689 (Chapter 17-Chapter 21)	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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